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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/822,630

SWERNOFSKY LAW GROUP PC

MOUNTAIN VIEW, CA 94039-0013

22883

P.O. BOX 390013

04/12/2004

David Hitz

103.1002.13

**CONFIRMATION NO. 1930** 

**FORMALITIES LETTER** 

\*OC000000013060031\*

Date Mailed: 06/24/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$900 for a Large Entity

08/27/2004 MAHNED1 00000098 10822630

• \$770 Statutory basic filing fee.

• \$130 Late oath or declaration Surcharge.

770.00 DP 130.00 OP 72.00 OP

Replies should be mailed to:

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

22883

AUG 2 6 2006

PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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C ASADEMARK		Application Number	10/822,	10/822,630				
TRANSMITTAL		Filing Date	Apr 12,	2004				
FORM	First Named Inventor	Hitz, Da	avid					
(to be used for all correspondence after initia	Art Unit	2171						
		Examiner Name	NYA					
Total Number of Pages in This Submission	17	Attorney Docket Number	103.100	02.13				
	FNC	PLOCUPES (1 1 11)						
	ENC	LOSURES (check all the	at apply)					
X Fee Transmittal Form (2 copies)		Drawing(s)		After Allowance communication to Group				
X Fee Attached (PTO Form 2038 – 2 copies)		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences				
X Amendment / Reply	Petition	•	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)					
After Final	Petition to Convert to a Provisional Application	,	Proprietary Information					
Affidavits/declaration(s)	Power of Attorney, Revocation Change of Correspondence Ad	dress	Status Letter					
Extension of Time Request	Terminal Disclaimer		X Other Enclosure(s) (please identify below):					
Express Abandonment Request	Request for Refund		Return Postcard					
Information Disclosure Statement	CD, Number of CD(s)							
Certified Copy of Priority Document(s)	Rem	arks						
Response to Missing Parts/ Incomplete Application								
X Response to Missing Parts under 37 CFR 1.52 or 1.53								
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm Steven A. Swernofsky	,			Reg. No. 33,040				
Signature Signature								
Date 8-23-200								
CERTIFICATE OF TRANSMISSION/MAILING								

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Date

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

PTO/SB/17 (10-03)

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PADEMARY	,	Complete if Known				
FEE TRANSMITTAL	Application Number	10/822,630				
	Filing Date	4/12/2004				
for FY 2004	First Named Inventor	Hitz, et al.				
Effective 10/01/2003. Patent fees are subject to annual revision.	Examiner Name	NYA				
Applicant Claims small entity status. See 37 CFR 1.27	Art Unit	2171				
TALL ANGUIST OF DAVISE THE (\$) 072.00	Attorney Docket No.	103.1002.13				

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)									
Check X Credit card Money Other None			3. ADDITIONAL FEES									
Crieck (A) Credit Card   Order   Other   None				Entity	Small	Entity						
X Deposit Account			Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	_	Fee Paid			
Deposit Account Number			1051	130	2051	65	Surcharge – late filing fee or oath		130.00			
Deposit Account Name Swernofsky Law Group PC			1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet					
The Director is authorized to: (check all that apply)			1053	130	1053	130	Non-English specification					
Charge	e fee(:	s) indicate	ed below	X Credit any over	payments	1812	2,520	1812	2,520	For filing a request for ex parte reexamir	nation	
X Charge	e any	additiona	l fee(s) c	or any underpayment of fe	e(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge	e fee(	s) indicate	ed below	, except for the filing fee		1805	1,840*	1805	1,840*	Requesting publication of SIR after		
to the above-in	identi	fied depos	sit accou	int.		l				Examiner action		
		FEI	E CA	LCULATION		1251	110	2251	55	Extension for reply within first month		
1. BASIC	FIL	LING F	EE			1252	420	2252	210	Extension for reply within second month	. [	
Large Entit	- 1	Small				1253	950	2253	475	Extension for reply within third month		
Fee Fe Code (\$)	e	Fee Code	Fee (\$)	Fee Description	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	Γ	
, ,	, 70	2001	(¥) 385	Utility filing fee	770.00	1255	2,010	2255	1,005	Extension for reply within fifth month	-	
	340	2002	170	Design filing fee		1401	330	2401	165	Notice of Appeal		
	30	2003	265	Plant filing fee		1402	330	2402	165	Filing a brief in support of an appeal		
	70	2004	385	Reissue filing fee		1403	290	2403	145	Request for oral hearing		
	60	2005	80	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceed	ing	
						1452	110	2452	55	Petition to revive - unavoidable		
					770.00	1453	1,330	2453	665	Petition to revive – unintentional		
2. EXTRA	A CI	LAIM F	EES	FOR UTILITY AN		1501	1,330	2501	665	Utility issue fee (or reissue)	L	
Fee from Extra Claims below Fee Paid			1502	480	2502	240	Design issue fee	1				
Total Claims	· I	24 -20	)**= [	4 x 18.00	= 72.00	1503	640	2503	320	Plant issue fee		
Independent Claims	ıt [	3 -3	**= [	0 ×	=	1460	130	1460	130	Petitions to the Commissioner		
Multiple Dep	pend	ent	_		=	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Entit	ity	Small	Entity			1806	180	1806	180	Submission of Information Disclosure St	tmt [	
Fee Fee Code (\$)	e	Fee Code	Fee (\$)	Fee Description		8021	40	8021	40	Recording each patent assignment per	ſ	
```	18	2202	9	Claims in excess of	20	1809	770	2809	385	property (times number of properties) Filing a submission after final rejection (37 CFR 1.129(a))	_	
1201	86	2201	43	Independent claims	in excess of 3	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))		
1203 290 2203 145 Multiple dependent claim, if not paid		1801	770	2801	385	Request for Continued Examination (RCE)	) [					
1204 86 2204 43 **Reissue independent claims over original patent		1802	900	1802	900	Request for expedited examination of a design application						
1205	18	2205	9	**Reissue claims in and over original p							-	
		5	SUBT	OTAL (2) (\$) 72	.00	Other	fee (spe	cify)				
** or number previously paid, if greater; For Reissues, see above			*Reduced by Basic Filing Fee Paid			Fee Paid	SUBTOTAL (3) (\$) 130.00		,			

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Steven A. Swernofsky	Registration No. (Attorney/Agent)	33,040	Telephone	650-947-0700
Signature	Alonemo ala			Date	8-23-04

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